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PATENT
Atty. Docket No. SIM-001
(7434/2)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Gordon Y.K. Ng et al.
SERIAL NO.: 08/670,119 GROUP NO.:
FILED: June 25, 1996 EXAMINER:
TITLE: RECEPTOR AND TRANSPORTER ANTAGONISTS

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on this 31st day of October, 1996.

October 31, 1996
Date of Signature and of Mail Deposit

Bethany M. Kimball
Bethany M. Kimball

Assistant Commissioner for Patents
Washington, D.C. 20231
ATTENTION: Box Missing Parts

Sir:

COMPLETION OF FILING REQUIREMENTS

- I. ☒ This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed July 31, 1996.
☒ A copy of the Notice to File Missing Parts of Application -- Filing Date Granted (Form PTO-1533) is enclosed.

PRELIMINARY AMENDMENT AND RESPONSE

- II. ☒ Enclosed herewith is a preliminary amendment and response, including a paper copy and computer readable form of a Sequence Listing, as well as a statement of compliance with 37 CFR §1.821.

SMALL ENTITY STATUS

- III. ☒ A verified statement that this filing is by a small entity.
☐ is attached.
☒ was filed on June 25, 1996.

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EXTENSION OF TIME

IV.

The proceedings herein are for a patent application and the provisions under 37 CFR 1.136(a) apply.

- (a) ☒ Applicant petitions for an extension of time, the fees for which are set out in 37 CFR 1.17(a)-(d), for the total number of months check below:

| | Extension (months) | Fee for other than Small Entity | Fee for Small Entity |
|-------------------------------------|-----------------------|------------------------------------|-------------------------|
| <input type="checkbox"/> | one month | \$ 110.00 | \$ 55.00 |
| <input checked="" type="checkbox"/> | two months | \$ 390.00 | \$195.00 |
| <input type="checkbox"/> | three months | \$ 930.00 | \$465.00 |
| <input type="checkbox"/> | four months | \$1,470.00 | \$735.00 |

If an additional extension of time is required please consider this a petition therefore.

Extension fee due with this request: \$ 195.00

TOTAL FEE DUE

V. The total fee due is:

Completion fee(s) \$ 0.00

Extension fee (if any) \$ 195.00

TOTAL FEE DUE: \$ 195.00

PAYMENT OF FEES

- VI. ☒ Enclosed is a check in the amount of \$195.00
☐ Charge Account No. 20-0531 in the amount of \$
A duplicate of this request is attached.

AUTHORIZATION TO CHARGE ADDITIONAL FEES

VII.

- ☒ ~~The Commissioner is hereby authorized to charge the following additional fees which may be required by this paper and during the pendency of this application to Account No. 20-0531.~~

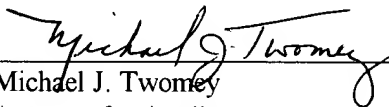
- ☒ 37 CFR 1.16(a), (f) or (g) (filing fees)
☒ 37 CFR 1.16(b), (c) and (d) (presentation of extra claims)
☒ 37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

- ☒ 37 CFR 1.17 (application processing fees)
☐ 37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b)).

Respectfully submitted,

Date: October 31, 1996
Reg. No. 38,349

Tel. No. (617) 248-7362
Fax: (617) 248-7100



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333 U.S. PTO



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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

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|--------------------|-------------|-----------------------|------------------------|
| APPLICATION NUMBER | FILING DATE | FIRST NAMED APPLICANT | ATTY. DOCKET NO./TITLE |
|--------------------|-------------|-----------------------|------------------------|

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0222/0731

PATENT ADMINISTRATOR
TESTA HURWITZ & THIBEAULT
HIGH STREET TOWER
125 HIGH STREET
BOSTON MA 02110

0000

DATE MAILED:

NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED

07/31/96

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130 for large entities or \$ 65 for small entities who have filed a verified statement claiming such status. The surcharge is set forth in 37 CFR 1.16(e).

If all required items on this form are filed within the period set below, the total amount owed by applicant as a ☐ large entity, ☒ small entity (verified statement filed), is \$ 0.

Applicant is given **ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE** of this application, **WHICHEVER IS LATER**, within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

1. ☐ The statutory basic filing fee is: ☐ missing ☐ insufficient. Applicant as a ☐ large entity ☐ small entity, must submit \$ _____ to complete the basic filing fee.
2. ☐ Additional claim fees of \$ _____ as a ☐ large entity, ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
3. ☐ The oath or declaration:
 - ☐ is missing.
 - ☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required.

4. ☐ The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
5. ☐ The signature(s) to the oath or declaration is/are: ☐ missing; ☐ by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
6. ☐ The signature of the following joint inventor(s) is missing from the oath or declaration:

_____ An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

7. ☐ The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$ _____ under 37 CFR 1.17(k), unless this fee has already been paid.
8. ☐ A \$ _____ processing fee is required since your check was returned without payment. (37 CFR 1.21(m)).
9. ☐ Your filing receipt was mailed in error because your check was returned without payment.
10. ☒ The application does not comply with the Sequence Rules. See attached Notice to Comply with Sequence Rules 37 CFR 1.821-1.825.
11. ☐ Other.

Direct the response to Box Missing Part and refer any questions to the Customer Service Center at (703) 308-1202.

A copy of this notice MUST be returned with the response.

COPY TO BE RETURNED WITH RESPONSE